

Guidelines for Applicants No. 1 under Measure 01.2.2.-  
LMT-K-718 Targeted research in smart specialisation  
areas of Priority axis 1 'Promotion of research,  
development and innovations' of the Operational  
Programme for the European Union Funds' investment  
in 2014–2020  
Annex 4

*(Form of the Application to fund a project co-funded from the structural funds of the European Union)*



## APPLICATION

### FORM OF THE APPLICATION TO FUND A PROJECT CO-FUNDED FROM THE STRUCTURAL FUNDS OF THE EUROPEAN UNION

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(Date of filling)

\_\_\_\_\_  
(Date of specification)

\_\_\_\_\_  
(Location of filling)

*(A form of the Application (hereinafter - Application form) to fund a project co-funded from the structural funds of the European Union (hereinafter - EU) is completed by logging-in to the website on the exchange of data of projects co-funded from the European Union structural funds (hereinafter - DMS), or by downloading a pre-completed form customised to a specific call for proposals from the DMS.*

*The Applicants submitting Applications to receive a grant according to the Global grant measure (hereinafter Global Grant measure) of the EU fund investment operational programme (hereinafter - Operational Programme), shall fill in an Application form in the manner set forth in the Guidelines for Applicants.*

*Where the filling in instruction indicates a maximum number of characters, or indicates that only numbers can be entered, in case more characters or letters are entered, an error message is displayed and the values are not saved.)*

## 1. DATA RELATING TO APPLICATION

<b>1.1. No. and name of the Operational programme</b>	<b>01.2.2-LMT-K-718 Targeted research in smart specialisation areas</b>
<b>1.2. No. of the call for applications or of the approved list</b>	<i>Please indicate (select) the number of the call for proposals, e.g. 01</i>
<b>1.3. Project title</b>	<p><i>Please indicate the title of the project for which the funding is requested. The title of the project should be short and clear, specifically conveying the idea of the project. The title should not include the name of the applicant. Longer names of the projects can also provide an abbreviation of the project title (written in brackets following the title).</i></p> <p><i>Use upper and lower case lettering (e.g. Two Channel Photosystem Based on Supramolecular Tubular Polymer, etc.).</i></p> <p><i>Max. 150 characters.</i></p> <p><i>Mandatory field.</i></p>

## 2. DATA RELATING TO THE APPLICANT

<b>Details of the Applicant:</b>	
<b>2.1. Title/name, surname of the Applicant</b>	<p><i>Please indicate the full name of the legal person, branch of the legal person, or the representative office (hereinafter –legal person) (according to the data of the Register of Legal Entities). Use upper and lower case lettering, as indicated in the Register of Legal Entities (e.g. UAB Technologijos, VšĮ „Universitetas“).</i></p> <p><i>Max. 140 characters.</i></p> <p><i>Mandatory field.</i></p>
<b>2.2. Applicant's code</b>	<p><i>Please indicate the code of the legal entity according to the Register of Legal Entities</i></p> <p><i>A code of 7-9 digits used for Lithuanian legal entities. A code of 5-15 digits used for foreign legal entities.</i></p> <p><input type="checkbox"/> <b>The Applicant is a foreign registered legal entity</b></p> <p><i>Please note that the Applicant is a legal entity registered abroad. No such note is required if the Applicant is a Lithuania-registered legal entity.</i></p>
<b>Address:</b>	
<b>2.3. Street</b>	<p><i>Please indicate the street name of the Applicant's correspondence address.</i></p> <p><i>Max. 100 characters.</i></p> <p><i>Mandatory field.</i></p>
<b>2.4. House No.</b>	<p><i>Please indicate the house and the apartment (if applies) of the Applicant's correspondence address.</i></p> <p><i>Max. 10 characters.</i></p> <p><i>Mandatory field.</i></p>

<b>2.5. Postal code</b>	<i>Please indicate the post code of the Applicant's correspondence address (e.g. 02134). Max. 10 characters. Mandatory field.</i>
<b>2.6. City / region</b>	<i>Please indicate the name of the city or the region of the Applicant's correspondence address. Maximum 100 characters. Mandatory field.</i>
<b>2.7. Country</b>	<i>If the Applicant is a legal entity registered abroad, please indicate the name of the country in which the Applicant is registered. Max. 100 characters. Mandatory if Sub-Item 2.2. of the Application form indicates that the Applicant is a legal entity registered abroad.</i>
<b>2.8. Telephone No.</b>	<i>Please indicate the telephone No. of the Applicant. Telephone No. is indicated in the following form: (+370 5) 216 2222, (+370 6) 111 0977. Max. 20 characters. Mandatory field.</i>
<b>2.9. E-mail</b>	<i>Please indicate the electronic mail of the legal entity submitting the Application info@universitetas.lt or universitetas@universitetas.lt, etc.). Max. 50 characters. Mandatory field.</i>
<b>Applicant or a person authorised thereby:</b>	
<b>2.10. Name, surname</b>	<i>Please indicate the name and the surname of the head of the entity submitting the Application, or of the person authorised on behalf of the Applicant. Max. 70 characters. Mandatory field.</i>
<b>2.11. Position</b>	<i>Please indicate the position of the head of the entity submitting the Application, or of the person authorised on behalf of the Applicant (e.g. Rector of X- University). Max. 150 characters. Mandatory field.</i>
<b>The person responsible for the Application:</b>	
<b>2.12. Name, surname</b>	<i>Name and the surname of the person responsible for the Application. The person responsible for the Application may be the head of the entity if he is directly related to the preparation of the project and will be able to answer the questions related to the preparation of the project and its submission for assessment. Max. 70 characters. Mandatory field.</i>
<b>2.13. Position</b>	<i>Please indicate the position of the person responsible for the Application. Max. 150 characters. Mandatory field.</i>

<b>2.14. Telephone No.</b>	<i>Please indicate the telephone No. of the person responsible for the Application. Telephone No. is indicated in the following form: (+370 5) 216 2222, (+370 6) 111 0977. Max. 20 characters. Mandatory field.</i>
<b>2.15. E-mail</b>	<i>Please indicate one electronic mail address of the person responsible for the Application. Max. 50 characters. Mandatory field.</i>

### 3. INFORMATION ABOUT THE PARTNER (-S)

<b>3.1. Is the project implemented together with the partner(-s)</b>	<input type="checkbox"/> <i>Yes (please mark the Item and fill in the Table below in case the project is implemented with a partner(-s)):</i> <input type="checkbox"/> <i>No (please mark the Item in case the Applicant implementing the project does not have any partners. In case 'No' is marked, the information on a partner(s) must not be filled in.</i>
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### 3.2. Partners

No.	Natural person	Legal entity registered abroad / foreign citizen	Title / name, surname of the partner	Partner code	Telephone No.	E-mail	Address			
							Street	House No.	City / region	Country
<i>Entered automatically</i>	<i>Please mark that the partner is a natural person</i>	<i>Please mark that the partner is a legal person registered abroad, or a foreign citizen. Max. 1 character.</i>	<i>If the partner is a legal entity, please indicate the name of the partner's organisation according to the Register of Legal Entities. If the partner is a legal entity registered abroad, the code indicated in the legal entity's</i>	<i>If the partner is a legal entity, please indicate its code according to the Registration Certificate of the entity. If the</i>	<i>Please indicate the telephone No. of the Applicant. Telephone No. is indicated in the following form: (+370 5) 216 2222, (+370 6) 111 0977. Maximum 20 characters.</i>	<i>Please indicate one e-mail address of the partner. Max. 50 characters. Mandatory field.</i>	<i>Please indicate the correspondence street name of the partner. Max. 100 characters. Mandatory field.</i>	<i>Please indicate the correspondence house (and apartment, if necessary) No. of the partner Max. 10 characters. Mandatory field.</i>	<i>Please indicate the name of the city or the region of the Applicant. Max. 100 characters. Mandatory field.</i>	<i>If the project activities are implemented by a partner, a legal entity registered abroad, or a foreign citizen, please indicate (select) the name of the country (according to the</i>

			<p>certificate of registration shall be entered next to its name.</p> <p>If the partner is a natural person, please indicate the name and the surname of the partner as indicated in the personal identification document.</p> <p>In case there is more than one partner, a separate row shall be filled in for each partner.</p> <p>The same entity may not be indicated more than once.</p> <p>Max. 140 characters.</p> <p>Mandatory field.</p>	<p>partner is a natural person, please enter is date of birth.</p> <p>Max. 5 15 characters.</p> <p>In case less than 5 characters are entered, an error message is displayed.</p> <p>Mandatory field.</p>						<p>correspondence address).</p> <p>Max. 100 characters.</p> <p>The partners (legal entities) whose legal entity's registered office address is registered in the territory of the Republic of Lithuania, or the partners (legal entities) that engage in their economic commercial activity in the territory of the Republic of Lithuania, do not have to fill in the Section.</p>
		(...)	(...)	(...)	(...)	(...)	(...)	(...)	(...)	(...)

#### 4. PROJECT ACTIVITY TERRITORY

##### 4.1. Region or municipality allocated the major share of the Project's funds.

Region	Municipality	Neighbourhood group(s)
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<p><i>Region or municipality allocated the major share of the Project's funds. Click on the region entry field and a pointer appears at the right side. Click on the pointer and a drop-down list will appear. Please select the name of the region from the list. If the region that was allocated the largest share of the funds is difficult to identify, the share may be indicated according to the Applicant's operations address. Mandatory field.</i></p>	<p><i>Please indicate the name of the municipality that is the location of the principal activities of the project (e.g. a building being built, or a seminar is held, etc.). Please indicate one municipality only. In case the project is implemented in several municipalities, please indicate the municipality accounting for the largest share of the funds and the operations. The largest share is computed according to the amount of the funds allocated. If the municipality that was allocated the largest share of the funds is difficult to identify, the share may be indicated according to the Applicant's operations address. E.g. a research is conducted at an institution in municipality X, but the presentations drawn up on the basis of the research will be also delivered in municipalities Y and Z. Municipality X –the key municipality in which the project is implemented.</i></p> <p><i>Click on the region entry field and a pointer appears at the right side. Click on the pointer and a drop-down list will appear. Please select the name of the municipality from the list. In case having selected or changed the region no municipality attributed to the region is indicated, an error message will appear. Mandatory field.</i></p>	<p><i>Where one of the following municipalities is selected in the 'Municipality' section: Jonava region, Kaunas region, Marijampolė region, Mažeikiai region, Plungė region, Šilutė region, Tauragė region, Telšiai region, Utena region or Vilnius region municipality, then in the 'Neighbourhood' section the Applicant shall enter the group of the neighbourhood(s) in which the principal activities of the project will be carried out, and in case the neighbourhood in question is not available in the selection list, select the 'Other neighbourhood' value from the list. Optional in case one of the earlier indicated municipalities is selected.</i></p>
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**4.2. Other municipality (-ies) to which part of the project funds is allocated** (*the Sub-Item is not marked if the project is implemented in a single municipality*).

<p><b>All municipalities</b></p>	<p><i>The Item is marked in case major part of the project funding is allocated to all municipalities of the Republic of Lithuania, or in case the products developed within the framework of the project are not intended for a specific target group and all residents of the Republic of Lithuania will be able to benefit from them (e.g. published research articles that are publicly accessible). In case the 'All municipalities' section is marked, no specific municipality needs to be marked in the 'Designated municipalities' section.</i></p>
<p><b>Designated municipalities:</b></p>	<p><i>Please enter the designated municipalities (select from the list). More than one municipality can be selected:</i></p> <ul style="list-style-type: none"> <li>Akmenė region</li> <li>Alytus town</li> <li>Alytus region</li> <li>Anykščių region</li> <li>Birštonas</li> </ul>

	Biržai region
	Druskininkai
	Elektrėnai
	Ignalina region
	Jonava region
	Joniškis region
	Jurbarkas region
	Kaišiadorys region
	Kalvarijos
	Kaunas city
	Kaunas region
	Kazlų Rūda
	Kėdainiai region
	Kelmės region
	Klaipėda city
	Klaipėda region
	Kretinga region
	Kupiškis region
	Lazdijai region
	Marijampolė
	Mažeikiai region
	Molėtai region
	Neringa city
	Pagėgiai
	Pakruojis region
	Palanga town
	Panevėžys city
	Panevėžys region
	Pasvalys region
	Plungė region
	Prienai region
	Radviliškis region
	Raseiniai region
	Rietavas
	Rokiškis region
	Skudodas region

	Šakiai region Šalčininkai region Šiauliai city Šiauliai region Šilalė region Šilutė region Širvintai region Švenčionys region Tauragė region Telšiai region Trakai region Ukmergė region Utena region Varėna region Vilkaviškis region Vilnius city Vilnius region Visaginas town Zarasai region
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<b>4.3. Project implementation venue</b>	<p><i>Sub-Item 4.3. is not marked if the project is implemented in the Republic of Lithuania only.</i></p> <p>1. <input type="checkbox"/> The Project or a part of the Project is implemented in another Member State (not in the Republic of Lithuania).  <i>The Item is marked in case the activity(ies) of the project or part thereof is intended to be implemented in another EU Member State, rather than in the Republic of Lithuania</i></p> <p>2. <input type="checkbox"/> The project or part thereof is implemented outside the boundaries of the EU territory. (Not applicable).  <i>The Item is marked in case the activity(ies) of the project or part thereof is intended to be implemented in another State(-s) (not a EU Member State), rather than in the Republic of Lithuania.</i></p>
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## 5. PROJECT DESCRIPTION

### 5.1. The need for the project. A description of the chosen solution and the expected result.



**5.1.1.** *The research field and direction shall be indicated. (Note: Only one field of scientific research shall be indicated. A maximum of two directions are indicated alongside the selected research field, indicating which one is the main one in parentheses. Research fields were established by Order No. V-93 of the Minister for Education and Science on 6 February 2019 'Regarding the Approval of Research Fields').*

Research field ...

Direction ...

Direction ...

**5.1.2.** *Please describe the need for the research project. The need for the project is substantiated by answering the following questions: why is it worthwhile investing EU structural funds in the project; which problems related to targeted research in the area of smart specialisation are intended to be addressed.*

*Please describe the content of the research project and a phased work plan in each project implementation year; please specify the equipment required for the research, and/or the data resources.*

*This section must reflect the incentive effect of the funding of the EU structural funds, i.e. the objectives that could not be achieved without the EU structural funds.*

**5.1.3.** *Please describe the expected results of the research projects, and indicate where such results will be published; the research and/or other production intended to be submitted with interim and the final research reports.*

*Please specify the planned scientific production, and which of the following results are anticipated to be achieved (if such is provided):*

*patent in Lithuania, also a patent application holding a registration certificate;*

*a patent issued by the European Patent Office (EPO), United States Patent and Trademark Office (USPTO), or the Japan Patent Office and owned by Lithuanian natural persons or legal entities;*

*A plant variety assessed at European specialised centres (Reports on Technical Examination of the DUS Testing required to be submitted);*

*An animal breed registered in the national or international registers;*

*New technology with the implementation statement, or tested in production;*

*A species or a microorganism strain registered in the international registers and having a registration certificate;*

*An open new digital resource obtained in the course of research, a data base or an open source software suitable to be used for R&D.*

*Please describe the importance of the planned results at national and international level, possibilities and prospects for using such results.*

*Please describe in what way and to what extent the anticipated results of the research will contribute to the feasibility of the objectives and the tasks of the operational plan of a specific Smart specialisation priority axis.*

**5.1.4.** *Please describe the idea of the research project, its novelty, specifying the project objectives and tasks, their originality and relevance; novelty of the knowledge and/or technologies intended to be created within the framework of the project, relevance of the research and (social, cultural) development problems being addressed, ambitiousness and the suitability of the project objectives to achieve the project objectives; indicate the research methods.*

*Please indicate whether or not the research provided within the framework of the project duplicates any earlier or current (including under the submitted applications).*

**5.1.5.** *Please describe the international scientific achievements of the research leader, experience in carrying out and managing research, please provide the information on the research projects completed or in progress in the course of the past ten years until the last day for submission of Applications indicated in the call for proposals;*

*Please justify the composition of the research group: provide a description of the research competence and the capacities of the other principal members of the research group to implement the research project; please specify the tasks intended for each member of the research group.*

**5.1.6.** *Please indicate the part of the project represented by research, and the part represented by development. When filling in this information it is important to observe the provisions of Article 48 of the Guidelines for Applicants.*

*Max. 15,000 characters.*

*Mandatory field.*

### 5.2. Project summary (shall be made public)

*Please provide a short and concise description of the essence of the project, i.e. the objective of the project, the project activities intended to be implemented and the results expected to be achieved by the activities. The information is published in the EU structural funds' website [www.esinvesticijos.lt](http://www.esinvesticijos.lt) (hereinafter –website [www.esinvesticijos.lt](http://www.esinvesticijos.lt)).*

*Max. 2,000 characters.*

*Mandatory field.*

### 5.3. The capacity of the Beneficiary to implement the Project and the description of the Project management.

#### The rationale for the selection of the Partners

*Please describe the responsibilities and the functions of the members of project management group and/or the personnel administering the project.*

*Please describe in detail the administrative capacities required for the implementation of the project of the Applicant and/or its partners (if any).*

*Please provide the rationale for the selection of the partner (if any): the partner's contribution to the project, please explain why the project has to be implemented with a partner, the benefit from the inclusion of the partner into the project; whether the partner will contribute to the project objective implementation, and by which activities; does the partner have sufficient experience and capacities to implement the designated project activities, etc.*

*Please indicate and substantiate the need of advance payment, its amount and the period for its disbursement (applied if the advance is allowed according to the provisions of the Guidelines for Applicants).*

*Max. 15,000 characters.*

*Mandatory field.*

### 5.4. Project implementation risks and their management

*In relation to describing the risks potentially having a direct affect upon the implementation of the project, please select a risk relevant to the project from the drop-down list in the Table. Please specify in the fields of the Table potential negative impact upon the implementation of the project and the expected results. More than one risk can be selected. The list of risks is preliminary and is intended to help the Applicant to determine the risks, therefore it is not considered to be exhaustive.*

*In case the drop-down list does not include a risk relevant to the Applicant, the Applicant may select from the list 'Other risk' and specify the risk in other fields of the Table.*

- **Designing (planning) quality risk** (lack of administrative capacity and competence of the project management team, delays in project activity due to public procurement procedures and/or excessively lengthy construction permit issuance procedures, the necessity to suspend, change or procure additional works, to attract additional financing sources to fund the increased value of construction works, a risk of an inaccurate plan for research, development and innovations (RDI), patenting risk, failure to attain the indicators due to an inaccurate planning, etc.);
- **Risk of the quality of the acquired (performed) contract works** (inadequate capacities or skills of appointed specialists, lack of competence, failure to appoint responsible persons, lack of competence on the part of contractors, and/or inadequate quality of contract works; the inadequate quality of the contract work may result in infringements of legal acts of the Republic of Lithuania, protracted procedures of completion of contract works, defects of the contract works, environmental risks, inadequate quality of R&D activities, etc.)

- **Risk of quality of acquired (provided) services** (a risk of inadequate quality of acquired services, risk of inadequate quality of project activities, risk of inadequate quality of acquired R&D services, lack of competence and/or skills of the existing or newly hired R&D or other staff, etc.).
- **Risk of quality of acquired equipment, manufactured equipment, developed products or other assets** (defects of equipment, facilities or other assets, unfitness for use in a product development process, insufficient or insufficiently used capacities of the equipment or other assets, etc.).
- **Risk of insufficient funding** (financial risk, political risk, risk of unlawful State aid, inaccurate estimation of project implementation costs, erroneous estimation of the duration of project activities, inadequacy of financial flows, etc.).
- **Risk of suitability of the products (services, goods) submitted for the market** (technological risk, technological compatibility risk, legal risk, social risk, counterpart default risk, product default risk, risk of increase in operating costs, risk of increased market prices, risk of additional costs, commercial inapplicability of R&D activity results, etc.).
- **Risk of demand for the product (goods, services) submitted to the market** (macroeconomic risks, microeconomic risks, failure to attain target indicators due to insufficient demand, etc.)
- **Risk of residual value of the assets at the end of a reporting period of the project** (risk of an unscheduled change in the value of the assets, risk of a change in the amount of dedicated reinvestment, etc.).
- **Other risks.**

No.	Risk title	Risk specification	Risk management measures
1.	A risk relevant to the project is selected from the drop-down list. Max. 100 characters. Mandatory field.	Please specify the risk by describing its origin and a potential impact upon the project. Max. 1,000 characters. Mandatory field.	Please indicate the measures that the Applicant intends to take to manage the designated risk, and the resources to implement such measures. Max. 1,000 characters. Mandatory field.
(...)	(...)	(...)	(...)

### 5.5. Planned use of project results after project completion

Please provide Project continuation description in terms of the physical and operational continuation of the results.

*Physical continuation: a concise description of how the project products will be continued (e.g. the method of payment for the operation of the equipment acquired), or the method to ensure the use and/or the dissemination of the project results.*

*Continuation of the project results: please indicate the project management personnel that will ensure (please indicate specific measures) the continuation of the project activities after the termination of the project funding according to the requirements of the Guidelines for Applicants, if such have been established (e.g. the services (e.g. oncology, traumatology) that the medical equipment acquired for the purpose of the project implementation will be used), etc.*

*Max. 2,000 characters. Mandatory field.*

## 6. LOGICAL PROJECT RATIONALE

### The aim of the project:

*(A concise statement of the aim of the project, clearly defining the main idea of the project, i.e. what the project aims to achieve. The objective of the project is to provide a solution to the relevant problem. The project must have no more than one objective. The aim of the project must be consistent with or contribute to the objectives of the operational program as described in the Guidelines for Applicants.)*

Task No.	Task	Activity No.	Activity	Measure No.	Physical indicator No.	Physical indicator title	Physical indicator measuring units	The sought value of a physical indicator	The budget heading	Description
<p>The task number is listed consecutively, e.g.: 1, 2, 3. The project can have no more than 5 tasks. The number of characters is 1.</p> <p>Mandatory field.</p>	<p>The aim of the project is described in detail by describing the tasks. The task must answer the question of what needs to be done to achieve the aim. The aim can be split into one or more tasks. The tasks must contribute to the implementation of at least one area or activities or an activity under a</p>	<p>Project activity number is indicated by adding the task number sequentially, e.g.: 1.1, 1.2, 1.3, etc. The number of characters - 4</p> <p>Mandatory field.</p>	<p>Please identify project activities that implement a specific project task. Project activity has a specific execution period and expenses (budget).</p> <p>Each activity shall be indicated in a separate row.</p> <p>It is recommended to group up small activities according to their nature (e.g. general training,</p>	<p>This section is to be filled in in case of a joint measure project (i.e. when project activities are financed from several measures in the operational program). For projects funded under a single measure, this section is not filled.</p>	<p>A physical indicator of the execution of an activity (hereinafter - a physical indicator) is the specific product (e.g. building, equipment) or action (e.g. training, exhibition) planned. Physical indicator number is indicated by the addition of a task and activity number, such as 1.1.1, 1.1.2, 1.1.3 and so on.</p>	<p>Please specify the name of the physical indicator. Physical indicators are a quantifiable direct result of the implemented project activities. A physical indicator indicates what will be achieved by completing a specific project activity. Physical indicators for specific project activities may not coincide with project</p>	<p>Please specify the unit of measure for the physical indicator (commonly used units of measurement can be abbreviated, e.g. units, pers., km, sq. m). Max. characters 20.</p> <p>Mandatory field.</p>	<p>The numerical value of the physical indicator to be achieved is indicated.</p> <p>Maximum number of symbols 9 figures before a comma, and two decimals.</p> <p>Mandatory field.</p>	<p>One physical indicator is assigned to one budget heading from the pick list (according to the headings in Article 7 "Project budget" of the application form). Mandatory field.</p>	<p>Please provide a description and the rationale for the project activity. (The number of characters - 2 000.)</p> <p>Please provide a description and the rationale for each physical indicator. Max. characters 10 000. Mandatory field.</p>

Task No.	Task	Activity No.	Activity	Measure No.	Physical indicator No.	Physical indicator title	Physical indicator measuring units	The sought value of a physical indicator	The budget heading	Description
	supported measure as indicated in the Guidelines for Applicants. Each task must be presented on a separate line.  Max. characters 450. Mandatory field.		specific training, etc.) or by target groups and so on.  Max. characters 400. Mandatory field.		At least one physical indicator must be provided per project activity. Land purchase is reported as a separate physical indicator.  Max. characters 6. Mandatory field.	monitoring indicators. Several physical indicators may be specified for one activity and new rows may be created as necessary.  Where a single activity is financed under several budget headings, a separate physical indicator must be provided for each of them. Max. characters 200. Mandatory field.				
1.	(...)	(...)	(...)		(...)	(...)	(...)	(...)	(...)	(...)

### 6.1. Summary of the logical project rationale:

(When filling in an Application form, the project rationale table without the description section is visible and printed).

## 7. PROJECT BUDGET

(Cost categories 1–3 are filled in only for the projects funded from the European Regional Development Fund and the Cohesion Fund, also in the cases when cross-financing is requested for the projects financed from the European Social Fund. Cost categories 4–7 apply to all projects. A project budget can indicate only eligible

costs on the basis of the costs eligible to be funded from the EU structural funds specified in the Description of Project Financing Conditions. Project budget items by each cost category are filled in having regard to the Recommendations regarding the compliance of project expenses with the requirements of structural funds of the European Union published at the website [www.esinvesticijos.lt](http://www.esinvesticijos.lt). The total of the project budget costs shall be computed by automatically adding the amounts entered in Section 'Total eligible costs'.)

(euro)

<b>Physical indicator No.</b>	<b>Physical indicator title</b>	<b>Physical indicator measurement units</b>	<b>Total physical indicator units</b>	<b>Total eligible costs</b>	<b>Executed not in the programme territory</b>	<b>Cost rationale</b>
<i>The cost category No. indicated further shall not be changed. Numbers of physical indicators are automatically transferred from Section 6 of Item 6 'Project rationale' of the Application. Max. 9 characters. Mandatory field.</i>	<i>The names of cost categories indicated further shall not be changed. The lines are filled in by automatically transferring the data from Section 7 'Name of the physical indicator' of Item 6 'Project rationale' of the Application. If necessary, the physical indicators may be specified by providing the name of a second-level physical indicator and creating additional lines (e.g. by entering No. 1.1.1.1 in the first section). The number of specifying rows that can be created is not limited. Max. 200 characters. Mandatory field.</i>	<i>The values are automatically transferred from Section 8 'Name of the physical indicator' of Item 6 'Project rationale' of the Application. Max. 20 characters. Mandatory field.</i>	<i>The target values of the physical indicator are automatically transferred from Section 9 'Name of the target physical indicator' of Item 6 'Project rationale' of the Application. Maximum number of symbols 9 figures before a comma, and two decimals. Mandatory field.</i>	<i>Please enter at each indicator the required eligible amount to achieve the indicator. Maximum number of symbols 9 figures before a comma, and two decimals. Mandatory field.</i>	<i>The section is marked in case a physical indicator is sought by implementing activities of the European Social Fund not in the EU, or when activities of the European Social Fund or the Cohesion Fund are implemented in another EU Member State (not in Lithuania), and section 'Cost rationale' indicates the country in which the activity shall be carried out (not applicable in case of representation' activity according to the Description of Project Financing Conditions). Max. 1 character.</i>	<i>Please provide a justification of the cost at each physical indicator (or the specifying lines), the method used to compute the costs, the documents supporting the calculation of the estimated costs, and other important information. Maximum 2,000 characters. Mandatory field.</i>
<b>1.</b>	<b>Land</b>	<i>Not applicable</i>	<i>Not applicable</i>	<i>Not applicable</i>	<i>Not applicable</i>	<i>Not applicable</i>

<b>Physical indicator No.</b>	<b>Physical indicator title</b>	<b>Physical indicator measurement units</b>	<b>Total physical indicator units</b>	<b>Total eligible costs</b>	<b>Executed not in the programme territory</b>	<b>Cost rationale</b>
	<i>Purchase of land is indicated as a separate physical indicator.</i>					
<b>2.</b>	<b>Real estate</b>	<i>Not applicable</i>	<i>Not applicable</i>	<i>Not applicable</i>	<i>Not applicable</i>	<i>Not applicable</i>
<b>3.</b>	<b>Construction, reconstruction, repair and other works</b>	<i>Not applicable</i>	<i>Not applicable</i>	<i>Not applicable</i>	<i>Not applicable</i>	<i>Not applicable</i>
<b>4.</b>	<b>Equipment, fixtures and other assets</b>	<i>Not applicable</i>	<i>Not applicable</i>	<i>Not applicable</i>	<i>Not applicable</i>	<i>Not applicable</i>
<b>5.</b>	<b>Execution of the project activities</b>					
<b>6.</b>	<b>Information about the project</b>	<i>Not applicable</i>	<i>Not applicable</i>	<i>Not applicable</i>	<i>Not applicable</i>	<i>Not applicable</i>
<b>7.</b>	<b>Indirect costs and other costs according to the cost rate.</b>					<i>Cost category 7 of the budget cannot be divided into individual lines. The amount of eligible costs computed according to the conditions set forth in the Guidelines for Applicants is</i>

Physical indicator No.	Physical indicator title	Physical indicator measurement units	Total physical indicator units	Total eligible costs	Executed not in the programme territory	Cost rationale
						<i>entered in Section 5 and substantiated in Section 7.</i>
<b>Total</b>						

### 7.1. Project budget summary:

*(When filling in a Application form, the project budget without the description of the cost rationale is visible and printed).*

## 8. PROJECT ACTIVITY EXECUTION SCHEDULE

<b>8.1. Project execution duration in months</b>	<i>Please enter an estimated project execution duration at an accuracy of a month from the contract signature date to the execution of project activities, i.e. the number of months to complete all activities under the project after the contract signature. Please enter a number only. Max. 110 characters. After the number is entered, clicking on any other field will show the entered number of months. Mandatory field.</i>
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Activity No.	Activity title	Started before the conclusion of the contract	Project contract month in which the project activities start	Project contract month in which the project activities end	Activity period rationale
<i>Numbers of project activities are automatically transferred from Section 6 of Item 3 'Project rationale' of the Application.</i>	<i>Name of a project activity is automatically entered from Section 6 of Item 4 'Project rationale' of the Application.</i>	<i>Not applicable</i>	<i>Please indicate the serial number of the month when the project activity was started counting from the planned project contract signature date. The first project implementation month</i>	<i>Please indicate the serial number of the month when the project activity was completed counting from the planned project contract signature date. Please enter a number from 1 to 110.</i>	<i>Please indicate the sequence that the stages of activity will be (or already are) implemented' Max. 1,000 characters. Mandatory field.</i>



			<i>does not have to be January. Please enter a number from 1 to 110. Mandatory field.</i>	<i>Mandatory field.</i>	
(...)	(...)	(...)	(...)	(...)	(...)

## 9. INFORMATION ABOUT THE PROCUREMENTS IN PROGRESS AND COMPLETED BEFORE THE SUBMISSION OF THE APPLICATION (NOT APPLICABLE)

## 10. INFORMATION ABOUT THE PROJECT REVENUES

*(Please select one of Sub-Items 10.1–10.4. In case of a joint measure project, revenues from all the activities of the project are estimated cumulatively.)*

<b>10.1. <input type="checkbox"/> No revenue will be generated by implementing the project</b>	<i>Please mark the Item when no revenue is planned from implementing the project. Max. 1 character.</i>
<b>10.2. <input type="checkbox"/> The project implementation will generate revenues and they are estimated in advance</b>	<i>Please mark the Item when project implementation is intended to generate income has been estimated in advance according to the form approved by the Monitoring Committee of the Operational Programme (please fill in Annex 'Information about the income generated from projects co-funded by the European Union funds' to the Application and published at the website <a href="http://www.esinvesticijos.lt">www.esinvesticijos.lt</a>). The form does not need to be filled in if the projects is co-funded from the European Social Funds. The amount estimated to be generated by implementing the project and/or in the post-funding period is entered in line 3.1 'Of which estimated forthcoming net income' in Table 'Funding sources of eligible costs of the project' of Item 11 of the Application form. Max. 1 character.</i>
<b>10.3. <input type="checkbox"/> The project implementation will generate revenues but they cannot be estimated in advance</b>	<i>Please mark the Item when revenues are generated in the course of the implementation of the project, however, it is not yet possible to estimate the demand for the projects or services created as a result of the project. Max. 1 character.</i>

<b>10.4. <input type="checkbox"/> Not applicable</b>	<p><i>Please mark the Item (unless the Description of Project Financing Conditions) when:</i></p> <p><i>1. the amount of eligible costs of a project co-funded from the European Regional Development Fund or the Cohesion does not exceed EUR 1,000,000;</i></p> <p><i>2. projects benefit from State aid;</i></p> <p><i>Max. 1 character.</i></p>
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## 11. SOURCES FOR FUNDING THE ELIGIBLE COSTS OF THE PROJECT

(euro)

Name of the funding source	Amount
<b>1. Requested funds</b>	<i>Please indicate the amount requested to be allocated for funding the project. Please enter a number only. In case letters are entered, an error message appears. Mandatory field. Maximum number of symbols 9 figures before a comma, and two decimals.</i>
<b>2. The own funds of the Applicant and the partner(s)</b>	<i>(2.1+2.2) Please indicate the amount of own funds (contribution), i.e. the amount secured by the Applicant. Computed by automatically summing up the amounts under Sub-Items 2.1 and 2.2.</i>
<b>2.1. National public funds</b>	<i>(2.1.1+2.1.2+2.1.3) Please indicate the amount that the Applicant will secure from the budget of the Republic of Lithuania, municipal budgets and other public financing sources. Computed by automatically summing up the amounts under Sub-Items 2.1.1 and 2.1.3.</i>
2.1.1. Funds of the budget of the Republic of Lithuania	<i>Please indicate the amount that the Applicant will secure, and whose source is the state budget of the Republic of Lithuania. Please enter a number only. Maximum number of symbols 9 figures before a comma, and two decimals.</i>
2.1.2. Funds of the Municipality budget	<i>Please indicate the amount that the Applicant will secure, and whose source is the funds of municipality budgets. Please enter a number only. Maximum number of symbols 9 figures before a comma, and two decimals.</i>
2.1.3. Other public funding sources	<i>Please indicate the amount that the Applicant will secure, and whose source is the public sources (e.g. the Employment Fund, funds of State-owned companies, funds of other legal persons that are the contracting authorities referred to in Items 1–3 of Article 4(1) of the Law on Public Procurement of the Republic of Lithuania. Please enter a number only. Maximum number of symbols 9 figures before a comma, and two decimals.</i>
<b>2.2. Private funds</b>	<i>(2.2.1+2.2.2) Automatically computed total of the amounts referred to in Sub-Items 2.2.1 and 2.2.2, and that will be secured by the Applicant paying from own funds or other sources.</i>
2.2.1. The own funds of the Applicant and the partner(s)	<i>Please indicate the amount to be secured by the Applicant and the partner(s) and that is not public funds. Please enter a number only. Maximum number of symbols –9 figures before a comma, and two decimals</i>
2.2.2. Other public funding sources	<i>Please indicate the amount to be secured by the Applicant and to be obtained from other funding sources, e.g., bank loan. Please enter a number only. Maximum number of symbols 9 figures before a comma, and two decimals.</i>

<b>3. Total</b>	<i>(1+2) Please indicate the total amount of eligible amount of the project (automatically computed total of the amounts indicated in Items 1 and 2 of the Table). The amount must coincide with the amount of eligible costs indicated in the Table of Item 7 Project budget of the Application. In case the amounts do not coincide, the financial source row "Total" is marked in red, and an error message is displayed.</i>
3.1. Of which estimated projected net income	<i>Please indicate the amount of income estimated to be generated and by which the eligible amount declared to the European Commission is deducted. This amount is equal to the amount of eligible costs that will be covered from the estimated net revenues from project activities, therefore the amount cannot be financed from EU structural funds, and the amount must be financed from own funds (contribution) of the Applicant and/or the partner(s). Depending upon the source of the funds that the Applicant and/or the partner(s) will use to fund the amount, please fill in a respective section of Item 2 'Own funds of the Applicant and the partner(s)' of the present Table. Please enter only a number that cannot be larger than the number indicated in Item 2 of this Table. Maximum number of symbols 9 figures before a comma, and two decimals. Mandatory field if Item 10.2 of the Application is marked.</i>

## 12. SPECIFICATION OF EXPENSES NOT MEETING THE ELIGIBILITY REQUIREMENTS (NOT APPLICABLE)



## 13. MONITORING INDICATORS

*(A project must seek to achieve the one product monitoring indicator referred to in Sub-Item 13.1.1. of the measure of the Operational programme according to which the project is being implemented). The indicators indicated in Sub-Items 13.1.2 and 13.1.3 are optional).*

Monitoring indicator title	Measurement unit	Target value	Substantiation of the target value
<b>13.1. Product monitoring indicators</b>			
13.1.1. Implemented R&D projects (indicator code P.S.396).	pcs.	<i>Please indicate a target monitoring value intended to be attained before the end of the implementation of</i>	<i>Please specify the principles for the calculation of the target value, that would support the assumption that the</i>

13.1.2. Patent applications submitted by beneficiaries that are research and studies institutions (indicator code P.S.305).	pcs.	<i>project activities. A target value may be omitted only with respect to basic and/or change monitoring indicators which, according to the Description of the procedure for computation of</i>	<i>target value is realistic and will be attained by the specified deadline indicated in the Description of the procedure for computation of</i>
13.1.3. Patent applications submitted by beneficiaries that are university hospitals (indicator code P.N.741).	pcs.	<i>Monitoring indicators are variable. Please enter a number only. Maximum number of symbols 12 figures before a comma, and two decimals. Mandatory in case a product Monitoring indicator is selected.</i>	<i>When specifying the principles, it is not sufficient to provide a reference to the obligation to attain a target value of the indicator as provided for in another legal acts or a strategic document; it is necessary to indicate a link to the logical rationale of the project (activities, physical indicator, budget or rates), project continuation indicator or other information indicated in the Application that would support the reasonableness of the target value while implementing the project or during the project continuation period (as an outcome from the implementation of the project). If so indicated in the Guidelines for Applicants, documents supporting the attainment of the monitoring indicator may be submitted. Max. 2,000 characters. Mandatory field.</i>
<b>13.2. Outcome monitoring indicators (Not applicable)</b>			

#### 14. PROJECT COMPLIANCE WITH THE HORIZONTAL PRINCIPLES

##### 14.1. The implementation of the project will ensure the compliance with horizontal principles

*Horizontal principles are those of sustainable development, gender equality, non-discrimination based on gender, race, nationality, language, origin, social standing, beliefs and views, disability, sexual orientation, ethnicity or religion (hereinafter –non-discrimination). To be marked if the project does not infringe horizontal principles. Max. 1 character. Mandatory field.*

<b>14.2. Will the implementation of the project actively contribute to the implementation of horizontal principles? (Not applicable)</b>
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### 15. INFORMAVIMAS APIE PROJEKTA

*(The Applicant must select to implement information measures as set forth in the Rules on the Administration and Financing of Projects, and the Description of Project Financing Conditions. Sub-Items 15.3 and 15.4 do not apply to Global grant measures.)*

Information measure(s)	Applicable	Description
<b>15.1. To publish at the internet website the information about the Project being implemented, describe its objectives, results, or inform about funding from a relevant EU structural fund(s).</b>	<input type="checkbox"/>	<i>Mark 'Applicable' if the beneficiary has an internet website. In case 'Applicable' is selected, please indicate the internet website address, and, if relevant, a short description of the information measure. Max. 300 characters. Mandatory if marked 'Applicable'.</i>
<b>15.2. At the beginning of the Project implementation put, in a place prominent to the public (for example, at the entrance to the building), at least one poster (not smaller than A3 format) presenting the information about the Project being implemented, and the funding from a relevant EU structural fund(s).</b>	<input type="checkbox"/>	<i>Mark 'Applicable'. Please provide a short description of the information measure. Max. 300 characters. Mandatory field.</i>
<b>15.3. A temporary information table displayed or a temporary information billboard to be displayed at the beginning of the Project implementation.</b>	<input type="checkbox"/>	<i>Not applicable</i>
<b>15.4. A permanent information table or a permanent information billboard to be displayed at a publicly visible location before the submission of the final payment request.</b>	<input type="checkbox"/>	<i>Not applicable</i>
<b>15.5. Inform the persons implementing the Project, the project target groups and other persons benefiting from the outcome of the project about the funding of the Project from a specific structural fund(s) of the EU, and/or the state budget of the Republic of Lithuania; this information must be presented in the certificates confirming the participation or other documents.</b>	<input type="checkbox"/>	<i>Please mark 'Applicable' Please provide a short description of the information measure. Max. 300 characters. Mandatory field</i>
<b>15.6. (If applicable, indicate any additional project information activities selected by the beneficiary as stated in the Guidelines for</b>	<input type="checkbox"/>	<i>Not applicable.</i>

*Applicants. Additional lines may be created if more than one informational activity is envisaged. Max. characters 300.)*

**16. PROJECTED BEGINNING OF PROJECT ACTIVITIES**

*Please indicate the beginning of the implementation of the project activities which must coincide with the beginning date of the project activities that are launched first, e.g: 2017-01-01 Max. 10 characters. Mandatory field.*

**17. PROJECTED BEGINNING OF PROJECT ACTIVITIES**

*To be filled in the Grant application form only.  
Please indicate the end of the implementation of the project activities that which coincide with the end date of the project activities that are launched last, e.g: 2022-10-01 Max. 10 characters. Mandatory field.*

**18. INFORMATION OF THE APPLICANT'S ACCOUNT OPENED WITH A CREDIT INSTITUTION (NOT APPLICABLE)**

**19. OTHER ISSUES (NOT APPLICABLE)**

**20. LIST OF THE ANNEXES TO THE APPLICATION**

*The Applicant shall enter in the Table the Annexes that are to be submitted according to the Description of Project Financing Conditions. Please mark 'Submitted' at Section 3 of the name of each Annex indicated in the Table, if such Annex must be submitted according to the requirements of the Description of Project Financing Conditions, and the Applicant actually submits such Annex. Other Annexes are entered at the end of the Tables, where the Description of Project Financing Conditions in fact require such Annexes to be provided. All forms of the Annexes to the Application are published at the website [www.esinvesticijos.lt](http://www.esinvesticijos.lt).)*

<b>No</b>	<b>Title of the Annex</b>	<b>Marked if submitted</b>	<b>Number of pages</b>
1.	<b>Partner's declaration</b>	<i>In case the project is implemented in cooperation with the partner(s), Annex 1 (Partner Declaration) shall be attached to the Application.</i>	(...) <i>In case there are several partners, please enter the total pages of the partner's declaration, and no new lines need to be created. Max. 4 characters.</i>
2.	<b>Information on the income generated by the projects co-funded by the European Union structural funds</b>	<i>Not applicable</i>	<i>Not applicable</i>

3.	<b>Information about State aid granted to the projects co-funded from the EU structural funds (except the 'de minimis' aid)</b>	<i>Not applicable</i>	<i>Not applicable</i>
4.	<b>Information about the environmental requirements applicable for the project</b>	<i>Not applicable</i>	<i>Not applicable</i>
5.	<b>Questionnaire regarding the eligibility of the input and/or import value added tax for funding from the structural funds of the European Union and/or the State budget of the Republic of Lithuania</b>	<i>This Annex to the Application shall be filled in case the eligible amount in the project budget is provided as inclusive of VAT, or in case the Guidelines for Applicants requires the Application be accompanied with the information about VAT.</i>	(...)
6.	<b>Project budget appropriation by applicants and partners</b>	<i>The Annex 3 to the Application shall be filled in case of the 'de minimis' scheme is applied, or when the project is intended to be implemented with a partner (s).</i>	(...)
7.	<b>Investment project</b>	<i>Not applicable</i>	<i>Not applicable</i>
8.	<b>Annexes to the large-scale project Application</b>	<i>Not applicable</i>	<i>Not applicable</i>
9.	<b>A Letter signed by the Head of the Applicant's institution, or a person authorised thereby regarding the capacities of the Applicant (project implementer) to implement the project, and the security of the project</b>		(...)
10.	<b>A Letter signed by the Head of the partner's institution or a person authorised thereby regarding the capacities of the partner to implement the project, and the security for the project implementation</b>	<i>(Applicable if the project is intended to be implemented together with a partner(s))</i>	
11.	<b>Copies of all letters of authorisations to sign the relevant documents (in case such documents are signed not by the head of the institution).</b>		
12.	<b>Copies of the Statute (Articles of Association) or the Registration Certificate approved in the manner set forth by the head of the partner(s) and/or the university hospital(s), or links to public documents</b>	<i>In case the project is implemented together with a partner(s). The requirement shall not apply to the partners that are universities and research institutions included in the AIKOS.</i>	
13.	<b>Copy of the cooperation (partnership) contract</b>	<i>In case the project is implemented together with a partner(s).</i>	

14.	<b>Documents supporting the sources of funding the Applicant and/or the partner(s) (contribution of the Applicant /partner)</b>		
15.	<b>Documents or references to public documents evidencing that the Applicant has an effective procedure for the rights arising from the intellectual activity results</b>		
16.	<b>Completed form of the information required for the assessment of compliance of the project with the project selection criteria</b>	<i>Annex 'Information required for the assessment of compliance of the project with the project selection criteria' (Annex 2) shall be attached to the Application.</i>	
17.	<b>Declaration of the status of the small and medium-sized business entity</b>	<i>In case the project is implemented together with a partner(s).</i>	
18.	<b>Documents distinguishing between economic and non-economic activities of the Applicant</b>		
19.	<b>The tax return approved by the State Tax Inspectorate under the Ministry of Finance of the Republic of Lithuania</b>	<i>Applicable if the project is implemented in cooperation with a partner, a private legal entity.</i>	(...)
20.	<b>Statistical Report on Research and Development (Form MT-02), approved by the Director of Statistics Department of the Republic of Lithuania</b>	<i>Applicable if the project is implemented in cooperation with a partner, a private legal entity.</i>	(...)



## INFORMATION REQUIRED FOR THE ASSESSMENT OF COMPLIANCE OF THE PROJECT WITH THE PROJECT SELECTION CRITERIA

### 21. APPLICANT'S DECLARATION

I hereby certify that:

1. To the best of my knowledge the information provided in the present Application and the documents attached thereto is truthful.
2. The funding requested is the smallest amount of funding required for the implementation of the project.
3. I am familiar with the project financing conditions, the procedure and the requirements set forth in the Description of Project Financing Conditions.

In case any new requirements or conditions are put in place in relation to amending the Description of Project Financing Conditions, I undertake to abide by the same.

4. I am aware that the project for the funding of which the present Application is submitted, will be implemented from the EU structural funds for 2014–2020, and the funds of the budget of the Republic of Lithuania.

5. I hereby undertake, within the term set forth by the Implementing Authority, to submit the required information and/or perform the actions specified by the responsible authorities of the Republic of Lithuania and the EU, to be performed for the purpose of the application the legal acts of the Republic of Lithuania and the EU specified in the Description of Project Financing Conditions, and governing the implementation of the operating programme.

6. I, or the Applicant I represent has met all the obligations related to the payment of taxes and social insurance contributions according to the relevant legislation of the Republic of Lithuania, and, in case the Applicant is a legal entity registered abroad according to the relevant legal acts of the respective foreign state (this provision shall not apply to the institutions whose activities are funded from the budgets of the Republic of Lithuania and/or municipalities, and with respect to which the terms for the payment of taxes or social insurance contributions may be deferred in the manner specified in the legal acts of the Republic of Lithuania).

7. I, or the Head of the Applicant I represent, a major shareholder (with more than 50% of the shares) or an owner, a full member(s) of the partnership, or a representative of small partnership authorised to conclude contracts on behalf of the legal person, or the accountant(s), or another person(s) authorised to draw up and sign accounting documents, do not have a conviction record, or unexpired conviction, and no judgement of conviction has been passed or has come into effect within the past 5 years for participating in, organizing or directing a group of accomplices, an organized group, a criminal organisation, terrorist offenses and terrorist linked offences or terrorist financing, child labour or other offenses linked to human trafficking, bribes, failure to perform duties, fraud, the misappropriation of property, waste of property, property damage by deceit, destruction or damage to property, illicit enrichment, smuggling, customs fraud, unlawful disposal of excise goods, unlawful export of goods or products from the Republic of Lithuania, unlawful pursuit of an economic, commercial, financial or professional activity, illegal activities of a legal person, unauthorized use of a trademark of a third party, fraudulent statements about the activities of a legal entity, tax evasion, the use of credit, loans or targeted support for purposes other than those for which it is intended or established, credit fraud, debtor dishonesty, criminal bankruptcy, the production of a counterfeit electronic payment instrument, counterfeiting or misappropriation of a genuine electronic payment instrument or its data, unauthorized use of the electronic payment instrument or its data, false statement of income, profit or assets, failure to submit a declaration, report or other document, fraudulent or negligent accounting treatment, the acquisition or disposal of the proceeds of crime, legalization of money or property acquired through crime, making, holding or disposing of counterfeit money or securities,

falsifying or disposing of a document, falsification of a stamp or blank, participation in any other illegal activity detrimental to the financial interests of the Republic of Lithuania and / or the EU (this provision shall not apply if activities of the Applicant are funded from the State budget of the Republic of Lithuania and/or budgets of municipalities, and/or the state monetary funds).

8. The Applicant that I represent and that has relocated its economic operations within the Member State or another Member State is not or has not been subject to any recovery procedure.

9. Neither I nor the Applicant I represent has been subject to any restrictions (up to 5 years) regarding the allocation of the EU financial assistance because of the illegal employment of third-country nationals.

10. The Applicant I represent has not been subject to bankruptcy or restructuring proceedings, no pre-trial investigation concerning its commercial-economic activities has been instituted, and/or it is not being liquidated, and no creditor arrangement has been put in place for an out-of-court bankruptcy proceeding (the provision does not apply to budgetary institution); neither I nor the Applicant I represent as a natural person has not been subject to bankruptcy proceeding or a pre-trial investigation has been initiated because of the Applicant's economic-commercial activity.

11. Neither I nor the Applicant I represent has been subject to any restrictions to receive funding because the funds were not refunded within the term specified in the Decision, or only part of the funds has been repaid (*this restriction shall not apply to the institutions whose activities are funded from the budgets of the Republic of Lithuania and/or municipalities, and/or public monetary funds, and the institutions whose activities are funded from the structural funds of the EU for 2007-20013, or the technical assistance of the EU structural funds for 2014-2020, the European Investment Fund and the European Investment Bank*).

12. At the time of the assessment of the Application the Applicant I represent has submitted its set of the annual financial statements to the Register of Legal Entities, also the sets of the consolidated financial statements, as required according to the Regulations of the Register of Legal Entities approved by Resolution No. 1407 of 12 November 2003 of the Government of the Republic of Lithuania On the establishment of the Register of Legal Entities and the approval of the Regulations of the Register of Legal Entities (this provision shall apply in the cases when the financial statements are required to be drawn up according to the laws applicable to the legal person, a foreign legal person, or another organisation or its branch; this Item of the Declaration does not apply when the Applicant is a natural person).

13. In case the project is implemented in cooperation with a partner, and in case the VAT costs are incurred in relation to the implementation of the project, which are eligible to be funded from the EU structural funds and/or the budget of the Republic of Lithuania, I hereby confirm that by concluding joint activity agreements, or by other methods I will ensure that the goods, services or works intended to be acquired for the purpose of the project implementation will be allocated for the non-economic activities of the partner, and the types of activities specified in Articles 20-26, 29 and 33 of the Law on Value Added Tax of the Republic of Lithuania (*this provision does not apply to legal entities registered abroad, or foreign citizens*).

14. I and the Applicant I represent are aware that the VAT of the taxable person of the Republic of Lithuania paid abroad cannot be refunded through the Electronic Application System (EPRIS) of the State Tax Inspectorate under the Ministry of Finance of the Republic of Lithuania, and in case the VAT is refunded, the Implementing Authority shall be notified thereof immediately.

15. My private interests, and those of the Applicant's Manager, and those of the Applicant I represent as of a natural person engaged in economic commercial activities are reconciled with the public interests of the society.

16. The implementation of the project will ensure compliance with horizontal principles (sustainable development, gender equality and non-discrimination).

17. I am not aware of any other reasons not specified in the present Declaration for which the project could not be implemented, or the implementation whereof could be postponed, or for which the project could not be implemented in the period of funding from structural funds in 2014-2020.

18. I hereby agree to undertake to provide the amount of own funds (contribution) to cover the eligible costs, and ensure the payment of all other costs (including ineligible costs) required for the implementation of the project.

19. I am aware that the implementing authority will process all personal data contained in the application for the purposes of the administration of the applications in accordance with the description of the conditions for the funding of projects.

20. I am aware that representatives of the European Court of Auditors, the European Commission, the Ministry of Finance and intermediate institutions, the Public Procurement Office, the National Audit Office of the Republic of Lithuania, the Financial Crime Investigation Service under the Ministry of the Interior, the Special Investigation Service or the Competition Council of the Republic of Lithuania, or their authorised officials can audit and control my economic and financial activities as the project implementer to the extent related to the implementation of the project. I am aware that for the purpose of the implementation of the functions set forth in the legal acts governing the administration of the operational programmes the above institutions can request and receive all the information about me, the Applicant I represent and the persons indicated in the Application from data bases of the State, foreign registers and institutions, and solvency and creditworthiness data bases managed by other legal entities.

21. I am aware that my Application may be rejected if not all documents and / or requested data will be provided in accordance with the procedures and within the time limits set by the Guidelines for Applicants.

22. I agree that the information about the Application submitted by me or the Applicant I represent (the title of the Applicant, the project title, short description of the project, the Application code and the requested financing amount), as well as the Application assessment results, the decision passed to finance or refuse the financing of the project, the amount of the funding allocated to the project, the information about the products developed for the purpose of the project implementation (provided the publication of such data does not contradict legal acts of the Republic of Lithuania) are published at the internet website [www.esinvesticijos.lt](http://www.esinvesticijos.lt).

23. I am aware that information on project activities, information related to the reimbursement of project costs, my contact details may be passed on to third parties and used for research and / or survey on the measure and / or implementation of this project.

24. I am aware that the data provided in the application will be processed and stored in the computerized information management and monitoring system of the EU structural support and the State Budget Accounting and Payment System for 10 years from the date of the last document but not less than 2 years after the completion of the Operational Program.

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(Name of the position of the Applicant, Head of the Applicant  
or authorized person, if can be disclosed)

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(Signature)

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(Name, surname)

**(Partner Declaration Form)**

(Logo of the European Union structural funds for 2014–2020)

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(Name and address of the Partner)

**PARTNER'S DECLARATION \***

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(Date)

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(Place of the conclusion)

I, \_\_\_\_\_, hereby certify that:  
*(Name, surname)*

1. I have acquainted myself with the project being submitted, our rights and the duties for the implementation of the project.
2. To the best of my knowledge the information provided in the present Application and the documents attached thereto is truthful.
3. I, or the partner I represent has met all the obligations related to the payment of taxes and social insurance contributions according to the relevant legislation of the Republic of Lithuania, and, in case the partner is a legal entity registered abroad according to the relevant legal acts of the respective foreign state (this provision shall not apply to the institutions whose activities are funded from the budgets of the Republic of Lithuania and/or municipalities, and with respect to which the terms for the payment of taxes or social insurance contributions may be deferred in the manner specified in the legal acts of the Republic of Lithuania).
4. I, or the manager of the partner I represent, a general member(s) of the partnership, or a small partnership authorised to conclude contracts on behalf of the legal person, or the accountant(s), or another person(s) authorised to draw up and sign accounting documents of the partner do not have a conviction record, or unexpired conviction, and no judgement of conviction has been passed or has come into effect within the past 5 years regarding the activities

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\* Individual partner declarations are signed by all partners or the Heads of the partners or authorized representatives listed in section 3 “Partner information” of the application.

specified in Item 2 of Annex 3 *Description of the restrictions to allocate financial support of the European Union, resources of the European Economic Area, the Norwegian Financial Mechanisms for 2009–2014, and the Lithuanian-Swiss cooperation programme for 2007–2012* of the *Rules for refunding the financial assistance to the State Budget* of the Republic of Lithuania approved by Resolution No. 590 of 30 May 2005 of the Government of the Republic of Lithuania *On the Approval of rules for refunding the financial assistance to the State Budget of the Republic of Lithuania* (this provision shall not apply if activities of the Applicant are funded from the State budget of the Republic of Lithuania and/or budgets of municipalities, and/or the state monetary funds).

5. The partner that I represent and that has relocated its economic operations within the Member State or another Member State is not or has not been subject to any recovery procedure.
6. I have read the documents about me or the partner I represent provided in the Annex ‘Questionnaire regarding the eligibility of the input and/or import value added tax for funding from the structural funds of the European Union and/or the State budget of the Republic of Lithuania’ to the Application, and thereby certify that the data are correct (the provision does not apply to legal entities registered abroad).
7. I and the partner I represent are aware that the VAT of the taxable person of the Republic of Lithuania paid abroad cannot be refunded through the Electronic Application System (EPRIS) of the State Tax Inspectorate under the Ministry of Finance of the Republic of Lithuania, and in case the VAT is refunded, the Implementing Authority shall be notified thereof immediately.
8. Neither I nor the partner I represent has been subject to any restrictions (up to 5 years) regarding the allocation of the EU financial assistance because of the illegal employment of third-country nationals (the provision does not apply to public entities registered in the Republic of Lithuania, or legal entities registered abroad, or foreign nationals).
9. The partner I represent has not been subject to bankruptcy or restructuring proceedings, no pre-trial investigation concerning its commercial-economic activities has been instituted, and/or it is not being liquidated, and no creditor arrangement has been put in place for an out-of-court bankruptcy proceeding (the provision does not apply to budgetary institution); neither I nor the partner I represent as a natural person has not been subject to bankruptcy proceeding or a pre-trial investigation has been initiated because of the partner’s economic-commercial activity.
10. Neither I nor the partner I represent has been subject to any restrictions to receive funding because the funds were not refunded within the term specified in the Decision, or only part of the funds has been repaid (this restriction shall not apply to the institutions whose activities are funded from the budgets of the Republic of Lithuania and/or municipalities, and/or public monetary funds, and the institutions whose activities are funded from the structural funds of the EU for 2007-20013, or the technical assistance of the EU structural funds for 2014-2020, the European Investment Fund and the European Investment Bank).
11. At the time of the assessment of the Application the partner I represent has submitted its set of the annual financial statements to the Register of Legal Entities, also the sets of the consolidated financial statements, as required according to the Regulations of the Register of Legal Entities approved by Resolution No. 1407 of 12 November 2003 of the Government of the Republic of Lithuania *On the establishment of the Register of Legal Entities and the approval of the Regulations of the Register of Legal Entities (this provision shall only apply in the cases when the financial statements are required to be drawn up according to the laws applicable to the legal person, a foreign legal person, or another organisation or its branch; this Item of the Declaration does not apply when the partner is a natural person)*.
12. My private interests, and those of the partner’s Manager, and those of the partner I represent as of a natural person engaged in economic commercial activities are reconciled with the public interests of the society.

13. The implementation of the project will ensure compliance with horizontal principles (sustainable development, gender equality and non-discrimination).
14. I am not aware of any other reasons not specified in the present Declaration for which the project could not be implemented, or the implementation whereof could be postponed, or for which the project could not be implemented in the structural funding period 2014-2020.
15. I hereby agree to undertake to provide the amount of own funds (contribution) to cover the eligible costs, and ensure the payment of all other costs (including ineligible costs) required for the implementation of the project.
16. I agree that representatives of the European Court of Auditors, the European Commission, the Ministry of Finance and intermediate institutions, the Public Procurement Office, the National Audit Office of the Republic of Lithuania, the Financial Crime Investigation Service under the Ministry of the Interior, the Special Investigation Service or the Competition Council of the Republic of Lithuania, or their authorised officials audit and control my economic and financial activities as the project partner to the extent related to the implementation of the project.
17. I understand and I agree that my Application may be rejected if not all requested data are attached thereto (including the present Declaration).
18. I agree that the data provided in my Application are processed and stored at the SFMIS (EU structural support computer-based information management and monitoring system).
19. I agree that the information about the Application (the title of the Applicant, the project title, short description of the project, the Application code and the requested financing amount), as well as the Application assessment results, the decision passed to finance or the refuse the financing of the project, the amount of the funding allocated to the project, the information about the products developed for the purpose of the project implementation (provided the publication of such data does not contradict legal acts of the Republic of Lithuania) are published at the internet website [www.esinvesticijos.lt](http://www.esinvesticijos.lt).

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(Name of the position of authorized  
Person, if that can be indicated)

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(Signature)

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(Name, surname)

Form of the Application to fund a project co-funded from  
the structural funds of the European Union  
Annex 2

### INFORMATION REQUIRED FOR THE ASSESSMENT OF COMPLIANCE OF THE PROJECT WITH THE PROJECT SELECTION CRITERIA

The project is assigned to a priority area of research and (social and cultural) development and innovation development (smart specialisation) (hereinafter smart specialisation areas), and to one of the priorities of the specific area, and is consistent with the thematic specifics of the specific priority:

Smart specialisation area <i>(please select one)</i>		Smart specialisation area priorities <i>(please select one)</i>	
<b>1. Energy and sustainable environment</b>	<input type="checkbox"/>	1.1. Interoperability enhancement of energy distribution and centralized generation systems, networks and energy efficiency systems.	<input type="checkbox"/>
		1.2. Meeting the needs of existing and new end-users, strengthening energy efficiency and ingenuity.	<input type="checkbox"/>
		1.3. Development of usage of renewable biomass and solar energy, waste recycling with energy recovery.	<input type="checkbox"/>
<b>2. Health technologies and biotechnologies</b>	<input type="checkbox"/>	2.1. Molecular technologies for medicine and biopharmaceutics.	<input type="checkbox"/>
		2.2. Advanced applied technologies for individual and public health.	<input type="checkbox"/>
		2.3. Advanced medical engineering for early diagnostics and treatment.	<input type="checkbox"/>
<b>3. Agro-innovation and food technologies</b>	<input type="checkbox"/>	3.1. Sustainable agro-biological resources and safer food.	<input type="checkbox"/>
		3.2. Waste-free recycling of bio-raw materials into valuable components.	<input type="checkbox"/>
<b>4. New production processes, materials and technologies</b>	<input type="checkbox"/>	4.1. Photonic and laser technologies.	<input type="checkbox"/>
		4.2. Advanced materials and constructions.	<input type="checkbox"/>
		4.3. Flexible technological systems for product creation and production.	<input type="checkbox"/>
<b>5. Smart, clean, integrated (linked) transport</b>	<input type="checkbox"/>	5.1. Smart transport systems.	<input type="checkbox"/>
		5.2. Technologies, models for the management of international transport corridors and integration of modes of transport.	<input type="checkbox"/>
<b>6. Information and communication technologies</b>	<input type="checkbox"/>	6.1. Artificial intelligence (AI), Big Data and Distributed Ledger Technology.	<input type="checkbox"/>
		6.2. Internet of Things (IoT).	<input type="checkbox"/>

		6.3. Multimodal analysis, processing and deployment.	<input type="checkbox"/>
		6.4. Cyber security.	<input type="checkbox"/>
		6.5. Financial technologies and blockchains.	<input type="checkbox"/>
<b>7. Inclusive and creative society</b>	<input type="checkbox"/>	7.1. Modern self-development technologies and processes.	<input type="checkbox"/>
		7.2. Design and audio-visual media technologies and products.	<input type="checkbox"/>
		7.3. Social and cultural innovation for the development of societal development products and services, innovative business models.	<input type="checkbox"/>
		7.4. Flexible and applied process control technologies.	<input type="checkbox"/>
<i>Please provide the rationale for the consistency of the project with the selected thematic specificity of the priority.</i>			



Form of the Application to fund a project co-funded from  
the structural funds of the European Union  
Annex 3



Kuriame  
Lietuvos ateitį  
2014–2020 metų  
Europos Sąjungos  
fondų investicijų  
veiksmų programa

\_\_\_\_\_  
(Date, location)

*(Please fill in as many rows as there are partners in the project. The completed Annex is an integral part of the application and the partner(s) is aware of the information provided about the partners and confirms that the information provided is correct.)*

Project name	
Applicant	
Partners	No. ...
	No.
	No.

**1. PROJECT BUDGET BREAKDOWN BY APPLICANT AND PARTNER (-IUS)\***

Project budget breakdown	1. Allocated funding, EUR	2. Applicant and Partner(s) funds, EUR	3. Total, EUR
<b>1.1. Total amount of the project</b>			
1.1.1. Project execution			

1.1.2. Part of direct and indirect costs **			
<b>1.2. Applicant's share of the budget</b>			
1.2.1. Project execution			
1.2.2. Part of direct and indirect costs **			
<b>1.3. Partner's No. ... share of the budget</b>			
1.3.1. Project execution			
1.3.2. Part of direct and indirect costs **			
(...)			

## 2. PROJECT BUDGET BREAKDOWN BY PROJECT ACTIVITY \*

<b>Project budget allocation</b>	<b>1. Part of the budget allocated to research, EUR</b>	<b>2. Part of the project budget for experimental development, EUR</b>	<b>3. Total, EUR</b>
<b>2.1. Total amount of the project</b>			
2.1.1. Project execution			
2.1.2. Part of direct and indirect costs **			
<b>2.2. Applicant's share of the budget</b>			
2.2.1. Project execution			
2.2.2. Part of direct and indirect costs **			
<b>2.3. Partner's No. ... share of the budget</b>			

2.3.1. Project execution			
2.3.2. Part of direct and indirect costs **			
(...)			

### 3. BREAKDOWN OF THE PROJECT BUDGET BY ECONOMIC AND NON-ECONOMIC ACTIVITY OF THE APPLICANT AND PARTNER \*

<b>Project budget allocation</b>	<b>1. Economic activity, EUR</b>	<b>2. Non-economic activity, EUR</b>	<b>3. Total, EUR</b>
<b>3.1. Total amount of the project</b>			
3.1.1. Project execution			
3.1.2. Part of direct and indirect costs **			
<b>3.2. Applicant's share of the budget</b>			
3.2.1. Project execution			
3.2.2. Part of direct and indirect costs **			
<b>3.3. Partner's No. ... share of the budget</b>			
3.3.1. Project execution			
3.3.2. Part of direct and indirect costs **			
(...)			

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(Name of the position of the Applicants or authorized person)

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(Name, surname)

\*Project execution costs (Category 5 of the costs of the Application) and indirect costs and other costs according to fixed cost rate (Category 7 of the costs of the Application) indicated in the project budget must be equal to the project execution costs and part of the direct and indirect costs in the project budget breakdown tables

\*\* The sum of the share of direct and indirect costs must be apportioned between the costs of the project execution on each table and across all sections. The share of direct and indirect costs shall not exceed a fixed percentage.

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